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PATENT  
Attorney Docket No.: 16770-004500US

Assistant Commissioner for Patents  
Washington, D.C. 20231

On November 1, 2001

TOWNSEND and TOWNSEND and CREW LLP

By: Jennifer Hallant

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Joseph P. Loeffler

Application No.: 09/812,987

Filed: March 20, 2001

For: CONVERTIBLE FLUID FEED  
SYSTEM AND COMFORMABLE  
RESERVOIR AND METHODS

Examiner:

Art Unit: 3761

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

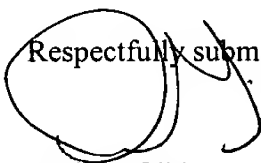
The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.



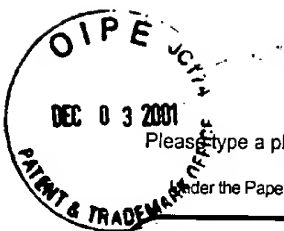
Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

  
Darin J. Gibby  
Reg. No. 38,464

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PTO/SB/21 (08-00)

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|  |                               |                    |
|--|-------------------------------|--------------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | <b>Application Number</b>     | 09/812,987         |
|  | <b>Filing Date</b>            | March 20, 2001     |
|  | <b>First Named Inventor</b>   | Joseph P. Loeffler |
|  | <b>Group Art Unit</b>         |                    |
|  | <b>Examiner Name</b>          |                    |
| <b>Total Number of Pages in This Submission</b>  | <b>Attorney Docket Number</b> | 16770-004500US     |

| ENCLOSURES (check all that apply)  |  |  |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Response<br><br><input type="checkbox"/> After Final<br><br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input checked="" type="checkbox"/> Supplemental Information Disclosure Statement Under 37 CFR §1.97 and §1.98<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><br><input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply, Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Return Postcard<br><br><input type="checkbox"/> Other Enclosure(s) (please identify below): |
| <b>Remarks</b>   |  | <b>The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.</b>  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |
|--|---|
| <b>Firm and Individual name</b>            | Townsend and Townsend and Crew LLP<br>Darin J. Gibby, Reg. No. 38,464 |
| <b>Signature</b>                           |   |
| <b>Date</b>                                | 11/1/01   |

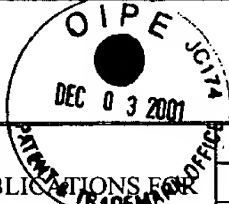
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| <b>Typed or printed name</b>   | Jennifer Gallant |             |         |
| <b>Signature</b>   |                  | <b>Date</b> | 11/1/01 |

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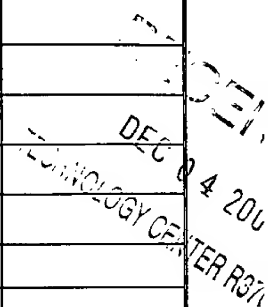
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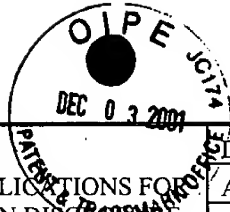
| FORM PTO-1449 (Modified)  |              |       | Docket No. 016770-004500US    |       | Application No.: 09/812,987 |                              |
|---|--------------|-------|-------------------------------|-------|-----------------------------|------------------------------|
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) |              |       | Applicant: Joseph P. Loeffler |       |                             |                              |
|   |              |       | Filing Date: March 20, 2001   |       | Group: 3761                 |                              |
| Reference Designation   |              |       | U.S. PATENT DOCUMENTS         |       |                             | Page 1                       |
| Examiner Initial  | Document No. | Date  | Name                          | Class | Sub-class                   | Filing Date (If Appropriate) |
| ___ AA  | 2,101,304    | 12/37 | Wright                        | 120   | 50                          |                              |
| ___ AB  | 2,158,615    | 05/39 | Wright                        | 120   | 50                          |                              |
| ___ AC  | 2,187,528    | 01/40 | Wing                          | 120   | 50                          |                              |
| ___ AD  | 2,223,541    | 12/40 | Baker                         | 120   | 50                          |                              |
| ___ AE  | 2,266,706    | 12/41 | Fox et al.                    | 128   | 173                         |                              |
| ___ AF  | 2,283,333    | 05/42 | Martin                        | 120   | 50                          |                              |
| ___ AG  | 2,292,381    | 08/42 | Klagges                       | 120   | 50                          |                              |
| ___ AH  | 2,360,297    | 10/44 | Wing                          | 120   | 52                          |                              |
| ___ AI  | 2,375,770    | 05/45 | Dahlberg                      | 120   | 52                          |                              |
| ___ AJ  | 2,404,063    | 07/46 | Healy                         | 120   | 51                          |                              |
| ___ AK  | 2,430,023    | 11/47 | Longmaid                      | 120   | 52                          |                              |
| ___ AL  | 2,474,996    | 07/49 | Wallis                        | 120   | 52                          |                              |
| ___ AM  | 2,512,004    | 06/50 | Wing                          | 120   | 52                          |                              |
| ___ AN  | 2,521,657    | 09/50 | Severy                        | 120   | 50                          |                              |
| ___ AO  | 2,681,041    | 06/54 | Zodtner et al.                | 120   | 50                          |                              |
| ___ AP  | 2,779,623    | 03/51 | Eisenkraft                    | 299   | 1                           |                              |
| ___ AQ  | 2,935,970    | 05/60 | Morse et al.                  | 120   | 52                          |                              |
| ___ AR  | 3,411,854    | 11/68 | Rosler et al.                 | 401   | 227                         |                              |
| ___ AS  | 3,558,052    | 01/71 | Dunn                          | 293   | 3                           |                              |
| ___ AT  | 3,738,574    | 06/73 | Guntersdorfer et al.          | 239   | 102                         |                              |
| ___ AU  | 3,790,079    | 02/74 | Berglund et al.               | 239   | 3                           |                              |
| ___ AV  | 3,804,329    | 04/74 | Martner                       | 239   | 4                           |                              |
| ___ AW  | 3,812,854    | 05/74 | Michaels et al.               | 128   | 194                         |                              |
| ___ AX  | 3,950,760    | 04/76 | Ilse-dore Stromberger et al.  | 346   | 140                         |                              |
| ___ AY  | 3,958,249    | 05/76 | DeMaine et al.                | 346   | 1                           |                              |
| ___ AZ  | 3,983,740    | 10/76 | Danel                         | 73    | 12                          |                              |
| ___ BA  | 3,993,223    | 11/76 | Welker, III et al.            | 222   | 107                         |                              |
| ___ BB  | 4,005,435    | 01/77 | Lundquist et al.              | 346   | 1                           |                              |
| ___ BC  | Des. 246,574 | 12/77 | Meierhoefer                   | D9    | 67                          |                              |
| ___ BD  | Des. 249,958 | 10/78 | Meierhoefer                   | D24   | 56                          |                              |
| ___ BE  | 4,119,096    | 10/78 | Drews                         | 128   | 194                         |                              |

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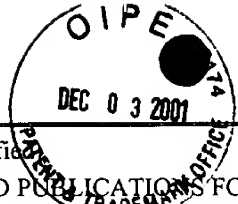
| FORM PTO-1449 (Modified)<br>LIST OF PATENTS AND PUBLICATIONS FOR<br>APPLICANT'S INFORMATION DISCLOSURE<br>STATEMENT (Use several sheets if necessary) |              |       | Docket No. 016770-004500US    |     | Application No.: 09/812,987 |  |
|---|--------------|-------|-------------------------------|-----|-----------------------------|--|
|   |              |       | Applicant: Joseph P. Loeffler |     |                             |  |
|   |              |       | Filing Date: March 20, 2001   |     | Group: 3761                 |  |
| _____ BF  | 4,159,803    | 07/79 | Cameto et al.                 | 239 | 102                         |  |
| _____ BG  | 4,226,236    | 10/80 | Genese                        | 604 | 89                          |  |
| _____ BH  | 4,240,081    | 12/80 | Devitt                        | 346 | 75                          |  |
| _____ BI  | 4,261,512    | 04/81 | Zierenberg                    | 239 | 102                         |  |
| _____ BJ  | 4,268,460    | 05/81 | Bojarski et al.               | 261 | 1                           |  |
| _____ BK  | Des. 259,213 | 05/81 | Pagels                        | D9  | 370                         |  |
| _____ BL  | 4,294,407    | 10/81 | Reichl et al.                 | 239 | 102                         |  |
| _____ BM  | 4,298,045    | 11/81 | Weiler et al.                 | 150 | 0.5                         |  |
| _____ BN  | 4,300,546    | 11/81 | Kruber                        | 128 | 200                         |  |
| _____ BO  | 4,301,093    | 11/81 | Eck                           | 261 | 1                           |  |
| _____ BP  | 4,334,531    | 06/82 | Reichl et al.                 | 128 | 200.14                      |  |
| _____ BQ  | 4,336,544    | 06/82 | Donald et al.                 | 346 | 1.1                         |  |
| _____ BR  | 4,338,576    | 07/82 | Takahashi et al.              | 331 | 67                          |  |
| _____ BS  | 4,368,476    | 01/83 | Uehara et al.                 | 346 | 140 R                       |  |
| _____ BT  | 4,389,071    | 06/83 | Johnson, Jr. et al.           | 299 | 14                          |  |
| _____ BU  | 4,408,719    | 10/83 | Last                          | 239 | 102                         |  |
| _____ BV  | 4,431,136    | 02/84 | Janner et al.                 | 239 | 102                         |  |
| _____ BW  | 4,454,877    | 06/84 | Miller et al.                 | 128 | 200.21                      |  |
| _____ BX  | 4,465,234    | 08/84 | Maehara et al.                | 239 | 102                         |  |
| _____ BY  | 4,474,251    | 10/84 | Johnson Jr.                   | 175 | 67                          |  |
| _____ BZ  | 4,474,326    | 10/84 | Takahashi                     | 239 | 102                         |  |
| _____ CA  | 4,475,113    | 10/84 | Lee et al.                    | 346 | 1.1                         |  |
| _____ CB  | 4,479,609    | 10/84 | Maeda et al.                  | 239 | 102                         |  |
| _____ CC  | 4,530,464    | 07/85 | Yamamoto et al.               | 239 | 102                         |  |
| _____ CD  | 4,533,082    | 08/85 | Maehara et al.                | 239 | 102                         |  |
| _____ CE  | 4,539,575    | 09/85 | Nilsson                       | 346 | 140 R                       |  |
| _____ CF  | 4,544,933    | 10/85 | Heinzl                        | 346 | 140 R                       |  |
| _____ CG  | 4,546,361    | 10/85 | Brescia et al.                | 346 | 140 R                       |  |
| _____ CH  | 4,550,325    | 10/85 | Viola                         | 346 | 140 R                       |  |
| _____ CI  | 4,591,883    | 05/86 | Isayama                       | 346 | 140 R                       |  |
| _____ CJ  | 4,593,291    | 06/86 | Howkins                       | 346 | 1.1                         |  |
| _____ CK  | 4,605,167    | 08/86 | Maehara                       | 239 | 102                         |  |
| _____ CL  | 4,620,201    | 10/86 | Heinzl et al.                 | 346 | 140 R                       |  |



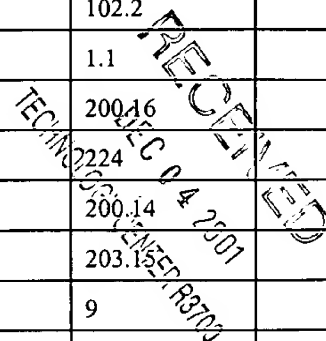


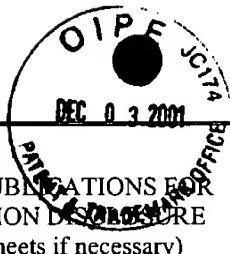
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|---|--------------|-------|-------------------------------|-----|-----------------------------|--|
| FORM PTO-1449 (Modified)  |              |       | Docket No. 016770-004500US    |     | Application No.: 09/812,987 |  |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) |              |       | Applicant: Joseph P. Loeffler |     |                             |  |
|   |              |       | Filing Date: March 20, 2001   |     | Group: 3761                 |  |
| _____ CM  | 4,628,890    | 12/86 | Freeman                       | 123 | 593                         |  |
| _____ CN  | 4,632,311    | 12/86 | Nakane et al.                 | 239 | 101                         |  |
| _____ CO  | 4,659,014    | 04/87 | Soth et al.                   | 239 | 102.2                       |  |
| _____ CP  | 4,681,264    | 07/87 | Johnson Jr.                   | 239 | 101                         |  |
| _____ CQ  | 4,702,418    | 10/87 | Carter et al.                 | 239 | 101                         |  |
| _____ CR  | 4,722,906    | 2/88  | Guire                         | 436 | 501                         |  |
| _____ CS  | 4,753,579    | 06/88 | Murphy                        | 417 | 322                         |  |
| _____ CT  | 4,790,479    | 12/88 | Matsumoto et al.              | 239 | 102.2                       |  |
| _____ CU  | 4,793,339    | 12/88 | Matsumoto et al.              | 128 | 200.16                      |  |
| _____ CV  | 4,796,807    | 01/89 | Bendig et al.                 | 239 | 102.2                       |  |
| _____ CW  | 4,799,622    | 01/89 | Ishikawa et al.               | 239 | 102.2                       |  |
| _____ CX  | 4,826,759    | 05/89 | Guire et al.                  | 435 | 4                           |  |
| _____ CY  | 4,828,886    | 05/89 | Hieber                        | 427 | 422                         |  |
| _____ CZ  | 4,850,534    | 07/89 | Takahashi et al.              | 239 | 102.2                       |  |
| _____ DA  | 4,865,006    | 09/89 | Nogi et al.                   | 123 | 590                         |  |
| _____ DB  | 4,877,989    | 10/89 | Drews et al.                  | 310 | 323                         |  |
| _____ DC  | 4,888,516    | 12/89 | Daeges et al.                 | 310 | 323                         |  |
| _____ DD  | 4,968,299    | 11/90 | Ahlstrand et al.              | 604 | 90                          |  |
| _____ DE  | 4,973,493    | 11/90 | Guire                         | 427 | 2                           |  |
| _____ DF  | Des. 312,209 | 11/90 | Morrow et al.                 | D9  | 371                         |  |
| _____ DG  | 4,976,259    | 12/90 | Higson et al.                 | 128 | 200.18                      |  |
| _____ DH  | 4,979,959    | 12/90 | Guire                         | 623 | 66                          |  |
| _____ DI  | 4,994,043    | 02/91 | Ysebaert                      | 604 | 90                          |  |
| _____ DJ  | 5,002,582    | 03/91 | Guire et al.                  | 623 | 66                          |  |
| _____ DK  | 5,021,701    | 06/91 | Takahashi et al.              | 310 | 345                         |  |
| _____ DL  | 5,063,396    | 11/91 | Shiokawa et al.               | 346 | 140 R                       |  |
| _____ DM  | 5,063,922    | 11/91 | Hakkinen                      | 128 | 200.16                      |  |
| _____ DN  | 5,073,484    | 12/91 | Swanson et al.                | 435 | 7.92                        |  |
| _____ DO  | 5,076,266    | 12/91 | Babaev                        | 128 | 200.16                      |  |
| _____ DP  | 5,080,649    | 01-92 | Vetter                        | 604 | 191                         |  |
| _____ DQ  | 5,115,803    | 05/92 | Sioutas                       | 128 | 200.23                      |  |
| _____ DR  | Des. 327,008 | 06/92 | Friedman                      | D9  | 521                         |  |
| _____ DS  | 5,139,016    | 08/92 | Waser                         | 128 | 200.16                      |  |

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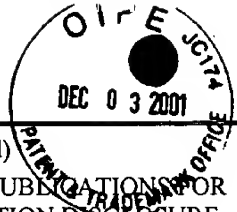
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|   |              |       | Filing Date: March 20, 2001   |     | Group: 3761                 |  |
| _____ DT  | 5,152,456    | 10/92 | Ross et al.                   | 239 | 102.2                       |  |
| _____ DU  | 5,164,740    | 11/92 | Irvi                          | 346 | 1.1                         |  |
| _____ DV  | 5,170,782    | 12/92 | Kocinski                      | 128 | 200.46                      |  |
| _____ DW  | 5,180,482    | 01/93 | Abys et al.                   | 205 | 222.4                       |  |
| _____ DX  | 5,186,164    | 02/93 | Raghurprasad                  | 128 | 200.14                      |  |
| _____ DY  | 5,186,166    | 02/93 | Riggs et al.                  | 128 | 203.15                      |  |
| _____ DZ  | 5,198,157    | 03/93 | Bechet                        | 264 | 9                           |  |
| _____ EA  | 5,217,492    | 06/93 | Guire et al.                  | 623 | 11                          |  |
| _____ EB  | 5,258,041    | 11/93 | Guire et al.                  | 623 | 66                          |  |
| _____ EC  | 5,261,601    | 11/93 | Ross et al.                   | 239 | 102.2                       |  |
| _____ ED  | 5,263,992    | 11/93 | Guire                         | 623 | 66                          |  |
| _____ EE  | 5,297,734    | 03/94 | Toda                          | 239 | 102.2                       |  |
| _____ EF  | 5,299,739    | 04/94 | Takahashi et al.              | 239 | 102.2                       |  |
| _____ EG  | 5,312,281    | 05/94 | Takashashi et al.             | 446 | 25                          |  |
| _____ EH  | 5,320,603    | 06/94 | Vetter et al.                 | 604 | 89                          |  |
| _____ EI  | 5,342,011    | 08/94 | Short                         | 248 | 318                         |  |
| _____ EJ  | 5,347,998    | 09/94 | Hodson et al.                 | 128 | 200.23                      |  |
| _____ EK  | 5,414,075    | 05/95 | Swan et al.                   | 568 | 333                         |  |
| _____ EL  | 5,415,161    | 05/95 | Ryder                         | 128 | 200.23                      |  |
| _____ EM  | 5,431,155    | 07/95 | Marelli                       | 128 | 200                         |  |
| _____ EN  | 5,435,282    | 07/95 | Haber et al.                  | 128 | 200.16                      |  |
| _____ EO  | 5,452,711    | 09/95 | Gault                         | 128 | 200.14                      |  |
| _____ EP  | Des. 362,390 | 09/95 | Weiler                        | D9  | 520                         |  |
| _____ EQ  | 5,458,135    | 10/95 | Patton et al.                 | 128 | 200.14                      |  |
| _____ ER  | 5,477,992    | 12/95 | Jinks et al.                  | 222 | 402.2                       |  |
| _____ ES  | 5,487,378    | 1/96  | Robertson et al.              | 128 | 200.16                      |  |
| _____ ET  | 5,489,266    | 02/96 | Grimard                       | 604 | 82                          |  |
| _____ EU  | 5,512,329    | 04/96 | Guire                         | 427 | 508                         |  |
| _____ EV  | 5,512,474    | 04/96 | Clapper et al.                | 435 | 240.243                     |  |
| _____ EW  | Des. 369,212 | 04/96 | Snell                         | D24 | 117                         |  |
| _____ EX  | 5,515,842    | 05/96 | Lamseyev et al.               | 128 | 200.18                      |  |
| _____ EY  | 5,515,841    | 05/96 | Robertson et al.              | 128 | 200.16                      |  |
| _____ EZ  | 5,518,179    | 05/96 | Humberstone et al.            | 239 | 102.2                       |  |





| FORM PTO-1449 (Modified)  |              |       | Docket No. 016770-004500US    |       | Application No.: 09/812,987 |             |
|---|--------------|-------|-------------------------------|-------|-----------------------------|-------------|
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|   |              |       | Filing Date: March 20, 2001   |       | Group: 3761                 |             |
| _____ FA  | 5,529,055    | 06/96 | Gueret                        | 128   | 200.16                      |             |
| _____ FB  | 5,533,497    | 07/96 | Ryder                         | 128   | 200.21                      |             |
| _____ FC  | 5,563,056    | 10/96 | Swan et al.                   | 435   | 180                         |             |
| _____ FD  | Des. 375,352 | 11/96 | Bologna                       | D24   | 115                         |             |
| _____ FE  | 5,579,757    | 12/96 | McMahon et al.                | 128   | 200.21                      |             |
| _____ FF  | 5,586,550    | 12/96 | Ivri et al.                   | 128   | 200.16                      |             |
| _____ FG  | 5,665,068    | 09/97 | Takamura                      | 604   | 191                         |             |
| _____ FH  | 5,637,460    | 06/97 | Swan et al.                   | 435   | 6                           |             |
| _____ FI  | 5,654,007    | 08/97 | Johnson et al.                | 424   | 489                         |             |
| _____ FJ  | 5,654,162    | 08/97 | Guire et al.                  | 435   | 7.92                        |             |
| _____ FK  | 5,654,460    | 08/97 | Rong                          | 556   | 472                         |             |
| _____ FL  | 5,692,644    | 12/97 | Gueyet                        | 222   | 80                          |             |
| _____ FM  | 5,707,818    | 01/98 | Chudzik et al.                | 435   | 7.93                        |             |
| _____ FN  | 5,714,360    | 02/98 | Swan et al.                   | 435   | 174                         |             |
| _____ FO  | 5,714,551    | 02/98 | Bezwada et al                 | 525   | 411                         |             |
| _____ FP  | 5,718,222    | 02/98 | Lloyd et al.                  | 128   | 200.14                      |             |
| _____ FQ  | Des. 392,184 | 03/98 | Weiler                        | D9    | 302                         |             |
| _____ FR  | 5,744,515    | 04/98 | Clapper                       | 523   | 113                         |             |
| _____ FS  | 5,758,637    | 06/98 | Ivri et al.                   | 128   | 200.16                      |             |
| _____ FT  | 5,893,515    | 04/99 | Hahn et al.                   | 239   | 7                           |             |
| _____ FU  | 5,938,117    | 08/99 | Ivri                          | 239   | 4                           |             |
| _____ FV  | 5,954,268    | 09/99 | Joshi et al.                  | 239   | 34                          |             |
| _____ FW  | 6,007,518    | 12/98 | Kriesel et al.                | 604   | 131                         |             |
| _____ FX  | 6,012,450    | 01/00 | Rubsamen                      | 128   | 200.14                      |             |
| _____ FY  | 6,014,970    | 01/00 | Ivri et al.                   | 128   | 200.16                      |             |
| _____ FZ  | 6,045,874    | 04/00 | Himes                         | 427   | 424                         |             |
| _____ GA  | 6,062,212    | 02/00 | Davison et al.                | 128   | 200.16                      |             |
| _____ GB  | 6,085,740    | 06/00 | Ivri et al.                   | 128   | 200.23                      |             |
| _____ GC  | 6,096,011    | 08/00 | Trombley, III et al.          | 604   | 256                         |             |
| _____ GD  | 6,106,504    | 08/00 | Urrutia                       | 604   | 251                         |             |
| _____ GE  | 6,205,999    | 03/01 | Ivri et al.                   | 128   | 200.22                      |             |
| _____ GF  | 6,235,177    | 05/01 | Borland et al.                | 205   | 67                          |             |
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| FOREIGN PATENT DOCUMENTS  |              |       |                               |       |                             |             |
|   | Document No. | Date  | Country                       | Class | Sub-class                   | Translation |





| FORM PTO-1449 (Modified)  |                  |       | Docket No. 016770-004500US    |  | Application No.: 09/812,987 |          |
|---|------------------|-------|-------------------------------|--|-----------------------------|----------|
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) |                  |       | Applicant: Joseph P. Loeffler |  | Group: 3761                 |          |
|   |                  |       | Filing Date: March 20, 2001   |  |                             |          |
|   |                  |       |                               |  |                             | (Yes/No) |
| ___ GG  | 1,454,597        | 11/76 | United Kingdom                |  |                             |          |
| ___ GH  | 0 049 636 A1 ✓   | 04/82 | EPO                           |  |                             |          |
| ___ GI  | 0 103 161 A2 ✓   | 03/84 | EPO                           |  |                             | no       |
| ___ GJ  | 0 134 847 A1 ✓   | 03/85 | EPO                           |  |                             |          |
| ___ GK  | 0 476 991 B1 ✓   | 03/95 | EPO                           |  |                             |          |
| ___ GL  | 0 542 723 A2 ✓   | 05/93 | EPO                           |  |                             |          |
| ___ GM  | 973,458 ✓        | 10/64 | Great Britain                 |  |                             |          |
| ___ GN  | 57-23852 ✓       | 02/82 | Japan                         |  |                             | no       |
| ___ GO  | 57-105608 ✓      | 07/82 | Japan                         |  |                             | no       |
| ___ GP  | 58-61857 ✓       | 04/83 | Japan                         |  |                             | no       |
| ___ GQ  | 58-139757 ✓      | 08/83 | Japan                         |  |                             | no       |
| ___ GR  | 60-4714 (A) ✓    | 01/85 | Japan                         |  |                             | no       |
| ___ GS  | 61-8357 (A) ✓    | 01/86 | Japan                         |  |                             | no       |
| ___ GT  | 61-215059 (A) ✓  | 09/86 | Japan                         |  |                             | no       |
| ___ GU  | 2-135169 ✓       | 05/90 | Japan                         |  |                             | yes      |
| ___ GV  | 2-189161 ✓       | 07/90 | Japan                         |  |                             | no       |
| ___ GW  | WO 92/11050 ✓    | 07/92 | PCT                           |  |                             |          |
| ___ GX  | WO 92/07600 ✓    | 05/92 | PCT                           |  |                             |          |
| ___ GY  | WO 93/01404 ✓    | 01/93 | PCT                           |  |                             |          |
| ___ GZ  | WO 96/09229 ✓    | 03/96 | PCT                           |  |                             |          |
| ___ HA  | WO 99/63946 ✓    | 12/99 | PCT                           |  |                             |          |
| ___ HB  | GB 2 073 616 A ✓ | 10/81 | UK                            |  |                             |          |
| ___ HC  | GB 2 177 623 A ✓ | 01/87 | UK                            |  |                             |          |
| ___ HD  | GB 2 240 494 A ✓ | 08/91 | UK                            |  |                             |          |
| ___ HE  | GB 2 272 389 A ✓ | 05/94 | UK                            |  |                             |          |
| ___ HF  | GB 2 279 571 A ✓ | 01/95 | UK                            |  |                             |          |
| ___ HG  | 2 101 500 ✓      | 01/83 | UK                            |  |                             |          |
| ___ HH  | 477 885 ✓        | 10/69 | Switzerland                   |  |                             | no       |
| ___ HI  | 555 681 ✓        | 09/74 | Switzerland                   |  |                             | no       |
| ___ HJ  | 0 178 925 ✓      | 04/86 | EPO                           |  |                             |          |
| ___ HK  | 2 692 569 A1 ✓   | 06/92 | FR                            |  |                             | no       |
| ___ HL  | 0 516 565 A1 ✓   | 05/92 | EPO                           |  |                             |          |
| ___ HM  | 0 387 222 A1 ✓   | 03/90 | EPO                           |  |                             |          |

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| FORM PTO-1449 (Modified)  |  | Docket No. 016770-004500US    | Application No.: 09/812,987 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION AND DISCLOSURE STATEMENT (Use several sheets if necessary) |  | Applicant: Joseph P. Loeffler |                             |
|   |  | Filing Date: March 20, 2001   | Group: 3761                 |
| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)  |  |                               |                             |
| _____ HN  | Berglund, R.N., et al. Generation of Monodisperse Aerosol Standards. Environ. Sci. Technology 7:2:147 (1973).  |                               |                             |
| _____ HO  | Allen, T. Particle Size Measurement. Chapman and Hall pp. 167-169 (1981).  |                               |                             |
| _____ HP  | Ueha, S., et al. Mechanism of Ultrasonic Atomization Using a Multi-Pinhole Plate. J. Acoust. Soc. Jpn. (E) 6,1:21 (1985).  |                               |                             |
| _____ HQ  | Maehara, N., et al. Influence of the Vibrating System of a Multipinhole-plate Ultrasonic Nebulizer on Its Performance. Review of Scientific Instruments, 57 (11), November 1986, pp. 2870-2876.  |                               |                             |
| _____ HR  | Maehara, N., et al. Optimum Design Procedure for Multi-Pinhole-plate Ultrasonic Atomizer. Japanese Journal of Applied Physics, 26:215 (1987).  |                               |                             |
| _____ HS  | Ashgriz, N., et al. Development of a Controlled Spray Generator. Rev. Sci. Instrum. 58(7):1291 (1987).   |                               |                             |
| _____ HT  | Hikayama, H., et al. Ultrasonic Atomizer with Pump Function. Tech. Rpt. IEICE Japan US88-74:25 (1988).   |                               |                             |
| _____ HU  | J. Acoustical Soc. Japan 44:2:116 (1988).  |                               |                             |
| _____ HV  | J. Acoustical Soc. Japan 44:6:425 (1988).  |                               |                             |
| _____ HW  | Siemens AG, 1989, "INK-JET PRINTING: THE PRESENT STATE OF THE ART," by Wolfgang R. Wehl.   |                               |                             |
| _____ HX  | TSI Incorporated product catalog. Vibrating Orifice Aerosol Generator (1989).  |                               |                             |
| _____ HY  | Gaiser Tool Company catalog, pp. 26, 29-30 (19__).   |                               |                             |
| _____ HZ  | Nogi, T., et al. Mixture Formation of Fuel Injection System in Gasoline Engine. Nippon Kikai Gakkai Zenkoku Taikai koenkai Koen Ronbunshu 69:660 (1991).   |                               |                             |
| _____ IA  | D.C. Cipolla et al., "Assessment of Aerosol Delivery systems for Recombinant Human Deoxyribonuclease," <u>S.T.P. Pharma Sciences</u> 4 (1) 50-62, 1994   |                               |                             |
| _____ IB  | D.C. Cipolla et al., "Characterization of Aerosols of Human Recombinant Deoxyribonuclease I (rhDNase) Generated by Jet Nebulizers," <u>Pharmaceutical Research</u> II (4) 491-498, 1994  |                               |                             |
| _____ IC  | I. Gonda, "Therapeutic Aerosols," <u>Pharmaceutics, The Sci. of Dosage Form Design</u> , M.E. Aulton, 341-358, 1988  |                               |                             |
| _____ ID  | Anthony J. Hickey, "Pharmaceutical Inhalation Aerosol Technology," <u>Drugs And The Pharmaceutical Sciences</u> , (54) 172-173   |                               |                             |
| _____ IE  | J.A. Abys et al., "Annealing Behavior of Palladium-Nickel All Electrodeposits," pp. 1-7.   |                               |                             |
| _____ IF  | "Palla Tech Pd an Pd Alloy Processes - Procedure for the Analysis of Additive IVS in Palla Tech Plating Solutions by HPLC," <u>Technical Bulletin</u> , Electroplating Chemicals & Services, 029-A, Lucent Technologies, , pp. 1-5, 1996 |                               |                             |
| EXAMINER  |  |                               |                             |
| DATE CONSIDERED   |  |                               |                             |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.